STEP BY STEP GUIDE – AUTHORISATION FOR ASSIGNMENT

This step by step guide will help you to navigate the online application process for **issuance** of Authorisation for Assignment (AFA).

Step 1: Visit <u>www.ibbi.gov.in</u>and click on**IP Login** button

HOME SITEMAP	SKIP TO MAIN CONTENT SCREEN READER ACCESS A- A A+ English ~
भारतीय दिवाला और शोधन अक्षमता होई Insolvency and Bankruptcy Board of India	
	RESOURCES IPUBLICATION ORDERS IPUBLIC COMMENTS CONTACT US Ord A
What's New > 13 Nov, 2019 Summary - Judgment dated 13th November, 2019 of the Horn'ble Supreme Court of India in the matter of Committee of Creditors of Essar Steel India Linder Vs. Satish Kumar Gupta & Crs. [Civil Appeal No. 8766-67/2019 and other petitions] > 13 Nov, 2019 Notification of provisions under the Insolvency and Bankruptcy Code. 2014 relating to Personal Guarantors to corporate debiotre > 13 Nov, 2019 Notification of provisions under the Insolvency and Bankruptcy Code. 2014 relating to Personal Guarantors to corporate debiotre > 13 Nov, 2019 Notification of provisions under the Insolvency and Bankruptcy (Insolvency and Liquidation) Subscribe	Late Shri Arun Jaitley the then Hey the Minister of Finance and Corporate Affairs, administering the oath of office to Chairperson Dr. M. S. Sahoo on 1st October 2016. 1 2 3 4 5 6 7 8 9 10 11 12
	IP Login 42
	PUBLIC ANNOUNCEMENT By Insolvency Professionals

Upon clicking IP Login, the screen will appear.

	LOGIN TO YOUR ACCOUNT	
भारतीय दिवाला	Enter your username	
मारताय ।दवाला र शोधन अक्षमता बोर्ड	Password	1000
Insolvency & uptcy Board of India	Enter your password	13
	Enter captcha	
	rwts6 o	1
	LOGIN Forget Password?	

See the left side of the panel, click on AFA tab and select Form AA tab.



Step 4: Once done, input all therelevant details desired in the form (fields marked as ** are mandatory). Ensure that all the relevant boxes are ticked. All the revenant documents, if any, are attached (in pdf format only).

Professional Fee	~	Application For ®	Issuance of Authorisation for Assignment
Update GSTIN	~		
Form IP	~	Name of IPA	Address of IPA
Assignments	~	Indian Institute of Insolvency Professionals of ICAI	4th Floor, ICAI Bhawan, Post Box no. Indraprastha Marg, New Delhi – 1100
AFA	~		
Form AA		Enrollment Date **	Enrollment Number **
List Form AA			
& Change Password			
		Whether you have attained the age of seventy years: **	Date of Birth:
		©Yes ◎No	
		W/L_4L	W/L_4L

Please enter the Enrolment No. issued to you by your IPA and the date of such Enrolment.

[Please note that your IP Registration Number and Date of Registration with IBBI is not required/not to be entered here]

Whether you have attained the age of seventy years:

Yes No

Whether you are in employment:

Yes No

Employment Details:

Whether you are a whole-time director/managing director in any company:

Whether any criminal/disciplinary proceeding pending under any law is pending against you:

OYes ®No

Date of Birth:

14/11/2019

If yes, please provide details

OYes ®No

Whether you have been debarred by any direction or order of IBBI or IPA:

Yes No

If yes, please provide details:

If yes, please provide details:

Whether you have complied with requirements, as on date of application, with respect to : (Please tick if 'Yes')

🛿 Filing of Forms for the purpose of monitoring corporate insolvency resolution processes and performance of insolvency professionals under the Insolvency and Bankruptcy Code, 2016 and the regulations made thereunder.

Payment of fee, interest or penalty, if any including -

Annual membership fee to IPA under Clause 11 of Schedule under IBBI (Model Bye-laws and Governing Board of Insolvency Professional Agencies) Regulations, 2016

🖉 Fee under clause 12A(3) of Schedule under IBBI (Model Bye-laws and Governing Board of Insolvency Professional Agencies) Regulations, 2016

🖉 Registration Fee to IBBI under Regulation under 7 (2) (c) of IBBI (Insolvency Professionals) Regulations, 2016

Annual fee to IBBI under Regulation 7(2)(ca) of IBBI (Insolvency Professionals) Regulations, 2016

Interest, if any to IBBI under Regulation 15 of IBBI (Insolvency Professionals) Regulations, 2016

Continuous Professional Education. If yes, details of Continuous Professional Education hours:

CPE hours previous year	Current years of CPE hours availed		Total CPE hours		
12	12		24		
Affirmation I hereby affirm that - (a) The above submissions are correct and complete to the best of my knowledge and belief. (b) I am eligible to obtain an Authorisation for Assignment. (c) I have complied with the requirements of the insolvency and Bankruptcy Code, 2016, read with regulations, circulars, directions or guidelines issued by IBBI. (d) I have made the payment of applicable fees. (e) I shall duly inform the Board / IPA upon becoming ineligible to hold Authorisation for Assignment.					
Copy of relieving letter from last employer		Copy of DIR 12 regarding cessa	tion of directorship		
Copy of letter of suspension, revocation of suspension, cancellation or acceptance of surrender of Authorisation for Assignment.		Copy of document in proof of credit score (not older than 3 months).			
Copy of last filed income-tax return		Copy of orders of pending or co	ncluded criminal/disciplinary proceedings		
Copy of CIN/LLPIN/Certificate of Registration of the Corporate Debtor					
Disc		Data			
Place		Date			
Delhi		16/11/2019			

Step 5:After filling all relevant details, in case, if you wish to save the same, click on 'SAVE AS DRAFT'.

Copy of CIN/LLPIN/Certificate of Reg	istration of the Corporate Debtor		
Choose File No file chosen			
·			
Date ** Field is required			
16/11/2019			
	SAVE AS DRAFT	SUBMIT WITH DSC	SUBMIT WITH ESC

Step 6: Upon saving, the message will appear on the top of the screen as 'Form has been saved' and the dashboard will appear on your screen.

Insolvency and Covernment of India	cy Board o	of India							
Step by Step Guide for Forms	5								
B Dashboard									
Professional Fee 🗸 🗸		Form has been sa	ved						
Update GSTIN 🗸 🗸		Tommas been so							
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🖌 Assignments 🛛 🗸		FUITHAA							
AFA 🗸								Search:	
🍾 Change Password									
		Date of AFA Application	IPA Name	Application For	Status of AFA Application	Download Application	AFA Certificate No. 🔐	Validity of AFA	Downl Certific

Step 7:If you wish to proceed for final submission of your application form, click on the **'EDIT'**button under the **'Action'**Tab on the dashboard.

Date of AFA Application	IPA Name	Application For	Status of AFA Application	Download Application	AFA Certificate No. 🕼	Validity of AFA	Download Certificate	Action
16/11/2019	Indian Institute of Insolvency Professionals of ICAI	Issuance	Submitted				NA	EDIT

Step 8:Check all the relevant details entered in the form. Ensure that all the relevant boxes are ticked. **All the revenant documents, if any, are attached (in pdf format only).**

You may also edit the form before the final submission.

Once done, proceed for submission of your form for which you have two options:

- E-sign (by using your Aadhar No) or
- Digital Signature Certificate (DSC)

Copy of CIN/LLPIN/Certificate of Registration of the Corporate Debtor			
Choose File No file chosen			
Place ** Field is required			
Date ** Field is required			
16/11/2019			
SAVE AS DRAFT SUBMIT	WITH DSC SUB	MIT WITH ESC	

Please note that editing is not permissible after signing (e-sign/DSC) the form.

Step 9A: If you wish to submit the form with DSC

I] If DSC is not configured on your computer, then configure the same through following steps:

DownloadJAVA from https://www.java.com/en/download/



After downloading then Install it by clicking on Install

	Welcom	ne to Java - U	Jpdated Lice	nse Terms		
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This version of	the Java Runtime is I		ense Agreement		an decitor an	d lanton
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	e of this software re					
Click install to	accept the license ag		stall Java now or stem.	r click Remove to	o uninstall it fr	om your
No personal in	formation is gathere			Details on the	information w	e collect

$Instartmenu, search for {\it ConfigureJava} and then \ click \ on \ {\it Securitymenu}$

🚋 Java Control Panel				\times
General Update Java Security Ad	vanced			
Enable Java content for browser an	d Web Start applications			
Security level for applications not on the	he Exception Site list			
O Very High				
Only Java applications identified and only if the certificate can be	by a certificate from a trusted author verified as not revoked.	rity are allov	ved to run,	
High				
Java applications identified by a the revocation status of the cert	certificate from a trusted authority a ificate cannot be verified.	re allowed to	o run, ever	n if
Exception Site List				
Applications launched from the sites prompts.	s listed below will be allowed to run a	fter the app	ropriate se	curity
http://13.234.4.32	~			
https://127.0.0.1:2015	~	Edit S	ite List	
https://eoffice.ibbi.gov.in				

Clickon**EditSiteList** buttonandAddSiteURL-<u>https://ibbi.gov.in/</u>byclicking on **EditSiteList**or **Add Site**, and then click OK Itwill allow digital signaturedongletosignDSC

🕌 Exception Site List	×
Applications launched from the sites listed below will be allowed to run after the approprompts.	priate security
Location	
http://13.234.4.32	
https://127.0.0.1:2015	
in the second	
https://ibbi.gov.in/	
https://ibbi.gov.in	
Add FILE and HTTP protocols are considered a security risk.	Remove
We recommend using HTTPS sites where available.	
ОК	Cancel

Your DSC is configured now and you may proceed for submission of your form by clicking on 'SUBMIT WITH DSC' button.

II] If DSC is already configured on your computer, then proceed for submission of your form with DSC.

Proceed for submission of your form by clicking on 'SUBMIT WITH DSC' button. [A file (.jnlp) would be downloaded to start the DSC process (Please click on keep icon to download), then open the file on double click on it.]

Professional Fee 🗸 🗸	Choose File No file chosen
Update GSTIN	Copy of last filed income-tax return Copy of orders of pending or concluded criminal/disciplinary proceeding
Form IP 🗸 🗸	Choose File No file chosen Choose File No file chosen
Assignments 🗸 🗸	Copy of CIN/LLPIN/Certificate of Registration of the Corporate Debtor
AFA 🗸	Choose File No file chosen
Change Password	Place ** Field is required
	Kochi
	Date ** Field is required
	16/11/2019
	SAVE AS DRAFT SUBMIT WITH DSC SUBMIT WITH ESC
This type of file can harm yo Do you want to keep dsc (1	
D Type here to sea	an a

A pop-up window will appear, you need to accept by checked the checkbox to start the application for DSC. Then click on "**run**" for the next step to appear.

Secu	urity	Warning			×				
1	Doy	you want	to run this	application?					
			Name:	SignPDF					
			Publisher:	UNKNOWN					
		<u> </u>	Location:	https://ibbi.gov.in					
R	unn	ing this ap	plication may	y be a security risk					
R	Risk: This application will run with unrestricted access which may put your computer and personal information at risk. The information provided is unreliable or unknown so it is recommended not to run this application unless you are familiar with its source								
		More Inform	ation						
s	elect	t the box be	low, then click	Run to start the application					
		Laccept the r	risk and want to r	run this application. <u>R</u> un Cancel					

You need to enter the PIN and then, click on login.

Verify Us	er PIN		\times			
<u>.</u>	Now verify	your User PIN:				
	User PIN:	•••••				
<u>.</u>		Enable soft keyboard				
Cha	nge User PI	N Login Cancel				

Once done, a pdf file would be generated and the same shall be visible on your dashboard.

	Date of AFA Application	IPA Name	Application For		Download Application	AFA Certificate No. 🗍	Validity of AFA	Download Certificate	Action
	14/11/2019	Indian Institute of Insolvency Professionals of ICAI	Issuance	Approved	POP	AA1/11111/01/14- 11-2020/1	13/11/2020	PDF	VIEW

Step 9B: If you wish to submit the form with E-sign

Click on "**Submit with ESC**" button. A pop-up window will appear. Fill up your Virtual ID/ Aadhaar Number to initiate the E-sign on Forms and then click GET OTP. An OTP will be received on the Aadhaar registered mobile number.

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← → C 🔒 esignservice1.cdac.in/esignservice2.1/OTP		☆ 💿 😋 😩 🗄
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	C-DAC's 65ign Service	
	Aadhaar Based e-Authentication	
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Please enter the OTP and then click SUBMIT.

C-DAC's eSign Service	
Aadhaar Based e-Authentication	
59839	
	Get Virtual ID
Enter Your Aadhaar OTP	
✓ I have read and provide my <u>consent</u>	
	View Document Information
Submit Cancel	Not Received OTP? Resend OTP

Once done, a pdf file would be generated and the same shall be visible on your dashboard.

	Date of AFA Application	IPA Name	Application For		Download Application			Certificate	Action
	14/11/2019	Indian Institute of Insolvency Professionals of ICAI	Issuance	Approved	POP	AA1/11111/01/14- 11-2020/1	13/11/2020	PDF	VIEW

Step 10. Once you submit your form either through DSC or E-sign, your form shall be forwarded online to your IPA.

The IPA shall examine the same and once approve it, a print-enabled, pdf file, with AFA Certificate shall be generated and the same shall be available on your dashboard.

Check the pdf document and ensure that all the details appearing in the same are correct.

In case of any difficulty in filing the Form AA, please send a mail to your respective IPAs and copy to webdev@ibbi.gov.in and rammilan.singh@ibbi.gov.in. helpdesk - +91 11-23462956

This document will be further revised shortly after the modules for **appeal against the rejection of application for AFA** and **renewal of AFA** are activated.
